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INTELLECTUAL PROPERTY LAW



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**VEWHOLM** 

STEIN&GRATZ 5



November 30, 2001

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTN: BOX PATENT APPLICATION

Re:

Utility Patent Application Transmittal

Inventor(s): Don VAUGHAN and Paul D. NIELSEN

Title: Quiet Flow Control Washer for Water Softener or the Like

Assignee: Clack Corporation

**USPTO Customer Account No.: 23598** 

Attorney Docket No.: 31.043

Dear Sir:

Enclosed is our check in the amount of \$512.00 in payment of the government filing fee for the enclosed United States patent application, which application includes 16 pages of specification, 22 claims on 7 sheets, 7 Drawings on 4 sheets, and an Abstract of the Disclosure, and an executed Declaration are enclosed. A Fee Transmittal Form PTO/SB/17 (11/00) is also enclosed.

Applicant is a small entity within the meaning of 37 CFR §§ 1.9 and 1.27 and hereby claims small entity status.

I hereby request that the attached application **NOT** be published under 35 U.S.C 122(b). An executed Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) is also enclosed.

An Information Disclosure Statement (IDS), Form PTO-1449, and copies of the documents are enclosed.

Also enclosed is an Assignment, which was executed concurrently with the Declaration and which is being filed concurrently with the application, a recordation cover sheet, and our \$40.00 check in payment of the recording fee.

U.S. Patent and Trademark Office November 30, 2001 Page 1

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1170.

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400



PATENT TRADEMARK OFFICE

## Certification Under 37 CFR 1.10

Express Mail Label No: EF252000341US

Date of Deposit: November 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service in "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Director of the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Jødi A. Calderon

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Filing Date November 30, 2001 First Named Inventor Vaughan Examiner Name Unassigned

TOTAL AMOUNT OF PAYMENT

(\$512)

Complete if Known Application Number Group Art Unit Unassigned Attomey Docket No. 31.043

METHOD OF PAYMENT						FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:						3. ADDITIONAL FEES  Large Small							
					Fee	Entity		Entity					
Deposit Account Number	50-1170					Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Deposit Account Name	oyle, Fredrickson, Newholm, Stein & Gratz S.C.					130	205	65	Surcharge – late filing fee or oath				
X Char	ge Any Add er 37 CFR 1		ee Required		127	50	227	25	Surcharge – late provisional filing fee or cover sheet				
	cant claims		entity status		139	130	139	130	Non-English specification				
	ment E		ed:		147	2,520	147	2,520	For filing a request for ex parte reexamination				
X Che	ck (	Credit C	Card Money Order	Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
	LCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action						
1. BASIC F			115	110	215	55	Extension for reply within first month						
Large Entity			116	400	216	200	Extension for reply within second month						
Fee Fee Code (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	117	920	217	460	Extension for reply within third month				
101 740	201	370	Utility filing fee	370	118	1,440	218	720	Extension for reply within fourth month				
106 330	206	165	Design filing fee		128	1,960	228	980	Extension for reply within fifth month				
107 510	207	255	Plant filing fee		119	320	219	160	Notice of Appeal				
108 740	208	370	Reissue filing fee		120	320	220	160	Filing a brief in support of an appeal				
114 160	214	80	Provisional filing fee		121	280	221	140	Request for oral hearing				
			Transferrar minigroup		138	1,510	138	1,510	Petition to institute a public use proceeding				
SUBTOTAL (1) (\$370)						110	240	55	Petition to revive – unavoidable				
2. EXTRA			141	1,280	241	640	Petition to revive – unintentional						
			Fee from below	Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)				
Total Claims	22 -20	)**=	2 x 9	= 18	143	460	243	230	Design issue fee				
Independent Claims	5 - 3	**=	2 X 42	= 84	144	620	244	310	Plant issue fee				
Multiple Dependent				=	122	130	122	130	Petitions to the Commissioner				
					123	50	123	50	Processing fee under 37 CFR 1 17(q)				
Large Entity Fee Fee Code (\$)	Small   Fee F Code (	ee	Fee Desci	ription	126	180	126	180	Submission of Information Disclosure Stmt				
103 18	-	9	Claims in excess of	20	581	40	581	40	Recording each patent assignment per property (times number of properties)	40			
102 84	202	42	Independent claims	n excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1 129(a))				
104 280	204	140	Multiple dependent claim, if not paid			740	249	370	For each additional invention to be examined (37 CFR § 1 129(b))				
109 84	84 209 42 **Reissue independent claims o original patent			179	740	279	370	Request for Continued Examination (RCE)					
110 18	3 210	9	**Reissue claims in e and over original p	169	900	169	900	Request for expedited examination of a design application					
	OTAL (2) (\$102	2)	Other fee (specify)										
** or number previously paid, if greater, For Reissues, see above						*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$40)				

SUBMITTED BY	Complete (ff applicable)				
Name (Print/Type)	Timothy E. Newholm	Registration No. (Attorney/Agent)	34,400	Telephone	(414) 225-9755
Signature	Date	November 30, 2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant commissioner for Patents, Washington, DC 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

VAUGHAN et al.

For:

Quiet Flow Control Washer for Water Softener or the Like

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

ATTN: BOX PATENT APPLICATION

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C 122(b).

Respectfully submitted,

Timothy E. Newholm Registration No. 34,400

Dated: November 30, 2001

BOYLE FREDRICKSON NEWHOLM STEIN & GRATZ S.C. 250 Plaza, Suite 1030 250 East Wisconsin Avenue Milwaukee, WI 53202 Telephone: (414) 225-9755

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